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# DC BeadM1™: towards an optimal transcatheter hepatic tumour therapy.

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CLINICAL APPLICATIONS OF BIOMATERIALS Original Research

# DC Bead $MI^{TM}$ : towards an optimal transcatheter hepatic tumour therapy

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Abstract Clinical use of DC Bead<sup>TM</sup> loaded with doxorubicin (DEBDOX<sup>TM</sup>) or irinotecan (DEBIRI<sup>TM</sup>), for the treatment of primary and secondary tumours of the liver respectively, is showing great promise. Recently there has been a tendency to select smaller bead size ranges to treat tumours in an effort to allow more drug dose to be administered, improve tumoural penetration and resultant drug delivery and tumour coverage. Herein we describe the development and performance characterisation of a new DC Bead size range (DC Bead $MI^{TM}$ , 70–150 µm) capable of an increased bead delivery in the distal vasculature, corresponding to greater tumour coverage and drug dose delivered. Both unloaded and drug loaded DC BeadM1 were shown to have a greater density of distal volume of penetration although the ultimate distal level of penetration was the same as that of the  $100-300 \mu m$  beads in an in vitro penetration model. Elution of doxorubicin was slower than irinotecan elution, but it was similar when comparing the same drug elution from  $70$  to  $150 \mu m$  compared to 100–300  $\mu$ m beads. Radiopaque versions of 70–150 and 100–300 μm beads were prepared in order to evaluate distribution ex vivo using  $\mu$ -CT and doxorubicin distribution using epifluorescent microscopy. Liver distribution of the

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radiopaque versions of the beads was shown to be more distal and efficient at filling smaller vessels with the DC BeadM1 and correspondingly more beads were found per vessel histologically with a larger area of drug coverage with the smaller size range. This study indicates that the smaller  $(70-150 \mu m)$  beads should permit an increased dose of drug to be administered to both hypervascular and hypovascular tumours as compared to  $100-300$  µm beads.

#### 1 Introduction

Transarterial chemoembolisation (TACE) has been practiced in patients for over 3 decades [\[1](#page-11-0), [2\]](#page-11-0) and describes the targeted delivery of chemotherapeutic agents followed by embolic material(s) to hypervascular liver tumors through a catheter placed in the tumor supplying arteries [\[3](#page-11-0)]. Although there is no worldwide standard technique [\[4](#page-11-0)], conventional TACE (cTACE) is most often performed by emulsifying chemotherapeutics with Lipiodol® and then infusing this emulsion through the catheter prior to delivery of the embolic material. More recently, drug-eluting bead TACE (DEB-TACE) has emerged as an alternative to cTACE [\[5](#page-11-0)]. In DEB-TACE, calibrated size ranges of embolic microspheres are loaded with a drug and then delivered in a single image-guided step. Although use of DEB-TACE has recently increased, clinical practice is still evolving with regard to technique and material choice.

The most commonly used [[4\]](#page-11-0) and well characterised DEB is DC Bead, which is a microspherical embolisation device composed of acrylamido polyvinyl alcohol–co– acrylamido-2-methylpropane sulfonate capable of loading and eluting positively-charged drugs such as doxorubicin or irinotecan via an ion exchange process [\[6–11](#page-11-0)]. TACE using DC Bead loaded with doxorubicin (DEBDOX) has



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become a popular treatment option for patients with intermediate stage hepatocellular carcinoma (HCC), a highly vascular liver tumour [[12\]](#page-11-0). The first phase I/II DEBDOX studies of Varela et al. [[13\]](#page-11-0) and Poon et al. [[14\]](#page-11-0) involved a dose-escalation phase and used DEBs measuring 500–700 µm in diameter. This particular size range was a consequence of the direct translation of the embolic agent size historically used to treat these patients using cTACE methods, which often used non-spherical embolic agents such as polyvinyl alcohol (PVA) particles to complete the embolisation after delivery of the chemotherapy emulsion.

The choice of embolic agent size is an important consideration and should be suited to the physicians' desired level of occlusion, but in addition to size, embolic material composition must also be considered as compressibility of microspheres plays a role in the level of occlusion [\[15](#page-11-0), [16](#page-11-0)]. Whilst the doxorubicin dosage administered in clinical practice has remained consistent at 100–150 mg per-treatment, there has been a subtle migration to using progressively smaller DEB sizes. This is illustrated in the published literature, such as the first PRECISION I and II studies which used solely  $500-700 \mu m$  DC Bead [[13,](#page-11-0) [14](#page-11-0)], with the later randomized phase II trial PRECISION V [[17\]](#page-11-0) and the study of Dhanasekaran et al. [[18\]](#page-11-0) which recommended use of  $300-500 \mu m$  and  $500-700 \mu m$  DC Bead; and those of Malagari et al. [[19–21](#page-11-0)] and Reyes et al. [[22\]](#page-11-0) for instance, which used  $100-300 \mu m$  and  $300-500 \mu m$ sized products in treatment of HCC. More recent consensus recommendations suggest use of  $100-300 \mu m$  DEBs [\[23](#page-11-0)].

In addition to DEBDOX, the combination of irinotecan with DC Bead (DEBIRI) is an attractive locoregional therapy for some patients with liver metastates from colorectal cancer (mCRC). DEBIRI has shown benefit in patients for whom systemic chemotherapy options have failed and in whom surgical resection is not possible  $[24-26]$  $[24-26]$ , as well as those in whom tumor shrinkage is required to allow surgical resection i.e., surgical down-staging and neo-adjuvant therapy [\[27](#page-12-0)]. Irinotecan is chosen over doxorubicin in treatment of mCRC due to its common use in an effective systemic combination chemotherapy regimen called FOL-FIRI [[28\]](#page-12-0). Although mCRC may be hypervascular relative to the liver, these tumors tend to be less vascularized than HCC and this difference in vascularity can limit the volume of DEBs and irinotecan that can be delivered to the tumour using DEBIRI. Moreover, mCRC often results in multiple and diffuse tumours in the liver requiring a more proximal catheter placement into the right or left hepatic artery followed by a lobar infusion of DEBIRI [[26\]](#page-12-0). These factors motivated the preference of  $100-300 \mu m$  beads for treatment of mCRC [[29\]](#page-12-0), as this was the smallest size commercially available at the time of study initiation for DEBIRI and more often allowed for a greater volume of beads and irinotecan to be delivered to the patient.

The desire for improved penetration must be balanced with potential safety concerns associated with use of very small microspheres. There have been isolated cautionary reports of potentially fatal complications from non-target embolisation following the use of small embolic agents, such as  $40-120 \mu m$  sized trisacryl microspheres or  $40 \mu m$ polyphosphazene coated PMMA microspheres [[30](#page-12-0), [31](#page-12-0)]. However, it is now generally accepted that appropriate use of careful angiographic analysis for shunting if using small deformable hydrogel microspheres can ameliorate this safety concern associated with the use of smaller devices. A smaller DEB has therefore been developed for use in DEBDOX or DEBIRI, which might provide the benefits of a smaller size range including a greater distal penetration, access to tumour regions perfused with small diameter blood vessels, and provide a higher dose of drug delivered to the target tissue, whilst minimising the associated potential safety risks. This overview compares the in vitro and in vivo performance characteristics of  $70-150 \mu m$ DEBs with the established DEB size ranges and discusses some of the outcomes from its use in clinical practice.

#### 2 Materials and methods

The different bead size ranges were subjected to drug loading and elution studies using both doxorubicin and irinotecan, and the effects of drug loading on bead size and penetration potential evaluated. In order to appreciate the differences in bead distribution in tissue, radiopaque versions of the beads were used to render them visible under X-ray imaging.

#### 2.1 Bead materials

DC Bead  $MI$  (70–150 µm) and DC Bead (100–300 µm, 300–500  $\mu$ m, and 500–700  $\mu$ m) (Biocompatibles UK Limited, a BTG International group company Farnham, UK) are composed of acrylamido polyvinylalcohol-coacrylamido-2-methylpropane sulfonate. Vials containing 2 mL of sedimented bead volume were used for in vitro characterization. To enable in vivo bead distribution studies to be performed and imaged using  $\mu$ CT, radiopaque versions of DC Bead $M1$  (radiopaque 70–150 µm) and DC Bead  $100-300 \mu m$  (radiopaque  $100-300 \mu m$ ) were prepared as previously described [[32\]](#page-12-0). Briefly, beads were lyophilised and incorporated with iodized oil and doxorubicin using a previously reported process [\[33](#page-12-0)].

#### 2.2 Bead drug loading

Two mLs (one vial) of  $70-150$  and  $100-300 \mu m$  beads were loaded with either 50 mg/mL or 75 mg/mL of doxorubicin hydrochloride (Dabur, India), or 100 mg/mL of irinotecan hydrochloride trihydrate (Dabur, India) via an ionic exchange mechanism. The packing solution was removed from the vial to leave a slurry of beads to which a sterile water drug solution was added and drug uptake determined by measurement of the depleted loading solution at set time intervals by UV–Visible spectrophotometry as previously reported [[9,](#page-11-0) [10](#page-11-0)]. The resulting drug eluting beads (DEB) loaded with doxorubicin or irinotecan were suitable for in vitro characterization studies.

#### 2.3 Bead sizing

Bead sizes were measured using a BX50 microscope and a  $\times$ 10 dry objective. (Olympus UK Ltd, Essex, England). To verify the eyepiece graticule used to measure the beads, a calibrated graticule (Graticules Ltd, Kent, England) was placed on the microscope stage and the eyepiece divisions verified. A monolayer of each type of bead (fully hydrated in 0.9 % saline) was placed in a Petri dish on the microscope stage. Using the  $\times 10$  objective the diameter of 200 individual beads was measured using the eyepiece graticule. The microscope stage was moved systematically across the sample to ensure no repeat measurement of individual beads. The bead sizing data was entered into a spreadsheet and the data graphs generated using Prism 6 (GraphPad Software, Inc., La Jolla, CA).

#### 2.4 Bead penetration studies

Figure [1](#page-5-0) shows a schematic of the experimental set-up of an apparatus designed to measure bead penetration potential. A cut-out section of tapering depth was milled into a block of Delrin<sup>®</sup> and a glass plate secured over the cut-out section to create a wedge geometry with a depth of 555  $\mu$ m at one end and  $25 \mu m$  at the other. The carrier fluid (saline) was held in a reservoir and connected to the plate assembly via an entry port at the wider end of the plate assembly; the pressure under which the fluid flows was controlled by the hydrostatic pressure created when the reservoir was raised 54.4 cm above the penetration device resulting in  $\sim$  40 mmHg to emulate circulatory blood pressure in appropriately sized vessels (hepatic pressure varying from 90 mmHg in the hepatic artery to 10 mmHg in the sinusoids and the beads generally residing in vessels mid-size to these extremes [[34\]](#page-12-0) ).

A bead slurry of between 0.1 and 0.5 mL of sedimented beads (depending on the size being analysed) was introduced via an injection port into the carrier fluid line, whereby the fluid flow (under 40 mmHg hydrostatic pressure) carried the beads into the plate assembly until they were physically constrained by their size and could not migrate further into the narrowing gap. A picture of the plate assembly was captured using a digital camera and the bead blocking point and distribution of beads throughout the plate assembly noted.

#### 2.5 Drug elution using pseudo-sink conditions

Amber jars each containing 500 mL of PBS with a magnetic stir bar were placed in a heated water bath which was on the top of two magnetic stirrer plates. The temperature of the PBS elution medium was controlled at 37  $\degree$ C by preequilibrating the system overnight. Before elution, the drug loaded microsphere samples were brought up to room temperature from refrigerated conditions, and the depleted solution was removed completely. 25 mL of PBS from the elution jar was taken to rinse all the drug-loaded beads into the elution jar. Time zero was defined as when the first sample was placed into the elution media. At each sampling time-point, a 200 mL volume of PBS medium (except for 5 mL at the first sampling time point only) was removed from the jar through a cannula filter, followed by adding back the same volume of fresh PBS to ensure pseudo-sink conditions were maintained. From this withdrawn aliquot, approximately 5–10 mL of eluted sample was used for UV analysis. Absorbance of the eluted sample was measured at 483 nm and 369 nm on a UV–Vis spectrophotometer for doxorubicin and irinotecan respectively.

# 2.6 Demonstration of bead distribution in vivo as a function of size

All animal studies were conducted under an animal use protocol approved by an NIH Animal Care and Use Committee. VX2 tumours were propagated as described by Ranjan et al. [\[35](#page-12-0)]. Briefly, VX2 was propagated in the hind limb of donor rabbits as a single cell suspension. Fragments of these tumours were percutaneously injected into a liver under ultrasound guidance and monitored with ultrasound for 2–3 weeks until a VX2 hepatic tumour of diameter greater than 1 cm in any dimension was established [\[36](#page-12-0)].

This rabbit Vx2 intrahepatic tumor model was used to compare the penetration of  $70-150 \mu m$  with 100–300  $\mu$ m radiopaque beads after transcatheter embolisation. After induction of anesthesia with ketamine (20 mg/kg; Bedco, St. Joseph, MO), Acepromazine (0.75 mg/kg; Bedco), and Glycopyrolate (1.2 mg; Baxter Healthcare, Dearfield, IL), animals were maintained under general anesthesia with isoflurane. Ketoprofen (5 mg; Fort Dodge Animal Health, Fort Dodge, IA) was given intramuscularly during induction to provide additional analgesia. Femoral access and catheter placement in the proper hepatic artery was conducted with a 3F sheath (Cook, Bloomington, IN) and a 2.4 Fr microcatheter (Terumo, Somerset, NJ) under

<span id="page-5-0"></span>Fig. 1 Penetration model schematic. Beads are introduced at the wide end of the taper and flow under the hydrostatic pressure until they block in a band commensurate with their size and mechanical properties



fluoroscopic guidance. Routine fluoroscopy was conducted using the 9900 Elite Digital Mobile Super C-arm (GE Healthcare/OEC Medical Systems, Inc., Waukesha, WI). Radiopaque beads were mixed 1:20 in saline/Isovue 300 contrast and delivered under fluoroscopic monitoring at a rate of approximately 1 ml/min (including agitation time) until an endpoint of stasis in the proper hepatic artery was angiographically determined. Fluoroscopic images of the targeted organ were obtained intermittently throughout the embolisation and the animal was euthanised 5 min after the completion of embolisation. Following euthanasia, liver and tumor tissue was harvested, placed in formalin and stored at  $4^{\circ}$ C prior to ex vivo analysis.

Harvested liver tissue was imaged on 256 slice CT (Philips Healthcare, Best, The Netherlands) with the following settings: 465 mAs, 80 keV, 1 mm thickness, 0.5 mm overlap (typical clinical abdominal scan without contrast) and also 625 mAs, 120 keV, 0.67 mm thickness, 0.335 mm overlap (internal auditory canal (IAC) scan). To resolve individual radiopaque beads within tissue an Inveon microCT (Siemens Preclinical Solutions, Knoxville, TN) was used with the following settings:  $31 \mu m$ resolution, 80 keV, tube current of 380  $\mu$ A and a 2 mm Aluminum filter. Images are displayed as 3-dimentional renderings and maximum intensity projections (MIPs) with an arbitrary window and level to maximize contrast.

The data shown for the swine liver embolisation in the discussion were generated according to the methods described in Dreher et al. [[37\]](#page-12-0).

#### 2.7 Statistics

Statistical analysis was performed with GraphPad Prism 6.05 (GraphPad Software Inc., La Jolla, CA). Bead size distributions were analysed with descriptive statistics using a relative frequency distribution with a pre-defined bin width. Drug elution was analysed for half-life using first-order (irinotecan) or second order (doxorubicin). Maximum bead penetration was analysed with a two-way ANOVA with factors bead size and drug loading (post hoc analysis with Tukey's multiple comparison test). All P-values were two-sided and values \0.05 were considered statistically significant.

# 3 Results

#### 3.1 Drug loading characteristics

The rate of drug uptake is known to increase with a decrease in the size of the beads due to an increased surface area to volume  $[8]$  $[8]$ . Drug loading of the 70–150 µm range was observed to be only slightly faster than the 100–300 lm size range for doxorubicin and very similar for irinotecan (see Table [1](#page-6-0)).

#### 3.2 Bead size

The smaller bead size  $(70-150 \text{ }\mu\text{m})$  was obtained by additional sieving to remove the larger bead size fraction

<span id="page-6-0"></span>found in the  $100-300$  um size range as shown in Figs. 2 and [3.](#page-7-0) The beads appear similarly smooth and spherical in shape but the  $70-150 \mu m$  is more uniform in bead size distribution. Both bead sizes are capable of loading irinotecan (Fig. 2c, d) and doxorubicin (Fig. 2e, f) resulting in a corresponding decrease in the average diameter of the beads due to water displacement and drug interactions [[8\]](#page-11-0) as clearly shown in optical micrographs of Fig. 2 and graphically Fig.  $3b$ . For the 100–300  $\mu$ m beads there is a percentage decrease in the average size in the range of 7, 12 and 24 % when loaded with either 25 mg/mL doxorubicin, 37.5 mg/mL doxorubicin or 50 mg/mL irinotecan respectively. For the  $70-150 \mu m$  beads the percentage decrease is relatively greater at 14, 25 and 28 % respectively for the same drug doses (see Fig. [3](#page-7-0)b).

#### 3.3 In vitro penetration properties

Bead penetration was quantified with a custom penetration model, shown in Fig. [1](#page-5-0), which created a wedge geometry that decreased in gap size in the direction of flow.

Interestingly, the more narrow size distribution in the 70–150 lm beads results in a more uniform leading edge while the other bead sizes have a more sporadic appearance where some larger beads in the distribution decrease the penetration of subsequent smaller beads. There was a significant effect of bead size and drug loading on the maximum penetration (P value  $\leq 0.05$ , 2 way ANOVA). Importantly, the average bead penetration was not different for 70–150 and 100–300  $\mu$ m (*P* value >0.05, Tukey's) but significant for all other comparisons with  $300-500 \mu m$ (P value  $\langle 0.05, \text{Tukey's} \rangle$ . Doxorubicin and irinotecan loading significantly increased the penetration for all bead sizes (P value  $\langle 0.05,$  Tukey's) except 100–300 µm with irinotecan.

When loaded with drug, as shown in Fig. [4,](#page-7-0) trends are similar to bland beads with a nearly identical leading edge between size ranges but on average a much greater penetration and uniformity for the smaller size range. These data suggest the reduction in size due to drug loading is balanced by an increase in stiffness, resulting in a similar maximum bead penetration.

Table 1 Comparison of drug loading times for DC BeadM1 and DC Bead  $100-300 \mu m$ 





Fig. 2 Representative images of 100-300 µm (top row a, c, and e) and  $70-150 \mu m$  (*bottom row* **b**, **d**, and **f**). Bland beads (a and **b**), irinotecan loaded beads (c and d) and doxorubicin loaded beads (e and f) appear similar except the smaller size range is more uniform and smaller in diameter (scale bar each division  $100 \mu m$ , total length  $500 \mu m$ 

<span id="page-7-0"></span>

Fig. 3 Bead size distribution for various bead size ranges and with drug loading. a Bead size distribution in 0.9 % saline shown as a histogram for all DC Bead sizes  $(n = 1000)$ . **b** Average bead diameters of DC BeadM1 with 37.5 mg/mL doxorubicin (Dox) and 50 mg/mL irinotecan loading  $(n = 400)$ 



Fig. 4 Quantification of in vitro bead penetration (drug loading levels: 37.5 mg/mL doxorubicin and 50 mg/mL irinotecan)

#### 3.4 Drug elution characteristics

Figure 5a shows that under the rapid elution conditions of this in vitro test, complete elution of doxorubicin is achieved over several hours with both the  $100-300 \mu m$ bead (half-life (time for 50 % elution)  $= 0.57$  h) and  $70-150$  µm beads (half-life = 0.52 h) eluting at the same

rate. Irinotecan elution however, takes only around an hour under the same conditions (half-life  $= 7.26$  min) with the 100–300 lm bead size range eluting marginally slower than the  $70-150$  µm beads (half-life 4.68 min) (Fig. 5b).

#### 3.5 In vivo bead distribution

In order to gain an appreciation of how the  $70-150 \mu m$ beads might distribute within the vasculature in vivo, a radiopaque version of this product was prepared and compared to a corresponding radiopaque  $100-300 \text{ nm}$ product, as shown in Fig.  $6.70-150 \mu m$  $6.70-150 \mu m$  beads result in a more distal embolisation; reaching the periphery of the rabbit liver tissue. In contrast,  $100-300 \mu m$  are located more central in the liver. Both beads reached the tumour but the  $70-150$  µm provided greater coverage of the tumor periphery and some penetration into the tumor core.

#### 4 Discussion

#### 4.1 Study findings and the state of the art

Most of the published literature describes the use of DC Bead in sizes ranges of  $100-300 \mu m$ ,  $300-500 \mu m$  and



Fig. 5 a Comparisons of doxorubicin elution (37.5 mg/mL loading) for 70–150 and 100–300 µm size DC Bead sizes ( $n = 2$ ). **b** Comparisons of irinotecan elution (50 mg/mL loading) for 70–150 and 100–300 µm size DC Bead sizes  $(n = 2)$ 

<span id="page-8-0"></span>70-150 µm

3 cm

CТ



500–700 lm. Regardless of size, the use of this device loaded with doxorubicin to treat HCC has yielded some encouraging 4 [\[38](#page-12-0)] and 5 [[39\]](#page-12-0) year survival data. From a physical perspective, as the size range becomes smaller, the percent difference between the smallest and largest beads within this population becomes increasingly large (Figs. [2,](#page-6-0) [3](#page-7-0)); hence, for the  $500-700 \mu m$  range there is a 1.4- fold difference but for the  $100-300 \mu m$  range there is a threefold difference in the sizes of arteries that may be occluded. We hypothesised that if this difference in the  $100-300 \mu m$ range could be refined to remove the larger sized bead fraction, the resulting DEB would have greater distal penetration. Similar to larger size ranges, the  $70-150 \mu m$ range was manufactured with a modified reverse suspension polymerisation process but this 100–300 µm product was further sieved in order to remove the higher-end diameter beads, whilst maintaining the lower size specification limit that is identical to the current  $100-300 \mu m$  size range.

In this investigation drug loading of the  $70-150 \mu m$ range was observed to be faster than for the  $100-300 \mu m$ size range for both doxorubicin and irinotecan (see Table [1](#page-6-0)), as anticipated by the overall increase in surface area to volume ratio that arises as a consequence of its smaller average bead diameter. Prior to clinical evaluation, thorough bench testing was required in order to determine if the physicomechanical properties of the  $70-150 \mu m$ beads were similar to conventional  $100-300 \mu m$  bead size range. From data such as that shown in Fig. [4](#page-7-0) for instance, it can be seen that both size ranges have the potential to penetrate to the same maximum level of occlusion,

demonstrating that the smallest bead fraction of either size range are both similar in size and compressive nature (somewhat addressing potential safety concerns). Clearly, the loading of either drug decreased the average bead diameter, but resulted in a concomitant increase in the resistance to compression [[8,](#page-11-0) [10\]](#page-11-0) that culminated in a marginal increase in distal penetration. However, the larger size range of  $100-300$  µm results in a greater distribution in the level of penetration. This test demonstrates the ability of the beads to compress, deform and occlude levels below their nominal lowest bead diameter; this however, is not directly translatable to the situation in vivo, as in this in vitro penetration model the beads are compressed in a quasi-uniaxial direction between two flat surfaces as opposed to being deformed within a cylindrically-shaped vessel. Furthermore, in vivo a large bead from the 100–300 lm size range may prematurely occlude a blood vessel before the smaller beads within the size distribution have the opportunity to penetrate to their nominal size. The tighter size distribution of  $70-150 \mu m$  limits the likelihood of in vivo premature occlusion. This is demonstrated in this study (Fig. 6) in which we have investigated the distribution in a tumour-bearing rabbit liver, where we see that the 100–300 lm beads have a tendency to occlude and accumulate in the larger, proximal and more central hepatic arteries, whereas the  $70-150 \mu m$  beads are seen clearly occupying the smaller, more distal and peripheral arteries and those surrounding the tumour (Fig. [7](#page-9-0)).

Under pseudo-sink elution conditions, it was expected to observe a relatively slow elution of doxorubicin from the beads due to strong drug-bead and drug–drug interactions [\[7](#page-11-0)], whilst irinotecan release should occur over a much shorter period. Doxorubicin elution rates were similar for both  $70-150$  µm and  $100-300$  µm beads when measured under pseudo-sink conditions which is consistent with data previously presented on the comparison of the systemic pharmacokinetics of doxorubicin release from the 100–300  $\mu$ m bead and 70–150  $\mu$ m beads in a rabbit VX2 tumour model performed at Johns Hopkins University [\[40](#page-12-0)], that showed blood plasma levels to be equivalent and low compared to historical values generated for cTACE [\[41](#page-12-0)]. They reported a similar finding for the first 10 patients treated with the  $70-150 \mu m$  beads compared to historical data from 500 to 700  $\mu$ m beads [[42\]](#page-12-0), confirming that in vivo there is less difference in the systemic exposure of doxorubicin between different sized beads than that predicted by in vitro methodologies [\[43](#page-12-0)]. The Hopkins VX2 study also showed that both the number of beads present and the doxorubicin concentration in the tumour were 2.6 fold greater for the  $70-150 \mu m$  beads compared to  $100-300 \mu m$  beads. These data are consistent with the work of Dreher et al. that demonstrated a  $\sim$ twofold increase in drug coverage using a swine liver model ( [\[37](#page-12-0)],

<span id="page-9-0"></span>

Fig. 7 Comparison of the distribution of  $70-150 \mu m$  (top) and 100–300 lm (bottom) radiopaque beads in embolised swine liver tissue (sagittal (left) and coronal (right) views of the tissue sample). Note that despite the same lower limit of bead size, the  $70-150 \text{ }\mu\text{m}$ bead are able to penetrate down into the tiny vessels at the liver capsule surface, whereas there is a clear bead-free margin at the surface of the sample containing the  $100-300 \mu m$  beads (unpublished data from the study described in Ref. [[37](#page-12-0)])

Fig. 8). The  $70-150 \mu m$  beads therefore appear to offer the enhanced locoregional drug delivery benefits of low systemic drug exposure [\[13](#page-11-0)] with greater tumour concentrations.

As there is a strong desire by treating physicians to consistently deliver the full planned bead volume and

associated drug dose to often smaller and/or less vascular tumours, the use of DEB sizes smaller than  $100-300 \mu m$ should allow access to a greater portion of the targeted vascular volume and may achieve this goal, while at the same time lead to a more distal embolisation. This rationale is supported by data obtained from resected liver lesions following DEBDOX which suggest that most of the 100–300 lm beads are confined to the tumour periphery [\[44](#page-12-0)], the previously mentioned preclinical studies which demonstrated the more distal occlusion and associated greater drug coverage for  $70-150 \mu m$  beads [[37\]](#page-12-0) and our data presented here on comparative beads distributions in a VX2 tumour model. More recently in the Spreafico study of HCC patients undergoing DEB-TACE with 70-150 µm beads as a bridge to transplant, they demonstrate histologically that these small beads can penetrate deep within the tumour, even in small nodules where the vasculature is not as well developed [[45\]](#page-12-0). In a study reported by Kelly et al. comparing  $70-150 \mu m$  beads to  $100-300 \mu m$  beads for the delivery of irinotecan to patients with hepatic metastatic disease, they demonstrated that when using the 70–150 lm beads there was less premature vessel occlusion and hence more of the drug dose administered, together with a lower adverse event rate (Table  $2$ ,  $[46]$  $[46]$ ); a finding confirmed in a recent propensity score matching analysis of small versus large DEBIRI [\[47](#page-12-0)]. These data validate the bench testing and preclinical data that the  $70-150$  µm beads have the ability to allow more volume of beads to be delivered before stasis is reached and hence more of the drug dose can be administered.

# 4.2 Review of current clinical safety and efficacy data

Padia et al. recently reported a study of 61 patients with HCC treated with either  $100-300 \text{ µm}$  (n = 39) or 300–500 µm (n = 22) LC Bead<sup>TM</sup> loaded with 50 mg of doxorubicin. They found a significantly lower incidence of



Fig. 8 Epifluorescent microscopy of liver tissue sections embolised with  $70-150$  µm versus  $100-300$  µm doxorubicin loaded DC Bead showing increased bead number, spatial distribution and drug

<span id="page-10-0"></span>Table 2 Results from a study comparing  $70-150 \mu m$  beads to 100–300 um beads for the delivery of irinotecan to patients with hepatic metastatic disease (degree of occlusion determined angiographically) [[47](#page-12-0)]



postembolisation syndrome (PES, one of the commonest side effects of transarterial embolisation and chemoembolisation comprising of fever, nausea/vomiting and pain)  $(P = 0.011)$  and fatigue  $(P = 0.025)$  after treatment in the 100–300 lm group (8 and 36 %) versus the 300–500 lm group (40 and 70 %). The mean change in tumour size was similar between the two groups based on WHO and EASL criteria and similar rates of objective response (OR), but there was a trend toward a higher incidence of EASL complete response (CR) with  $100-300$  versus  $300-500$  µm beads (59 vs. 36 %;  $P = 0.114$ ) [\[48](#page-12-0)]. Such findings have motivated others to assess the  $70-150$  µm beads to see if even smaller beads improve outcomes further.

Spreafico et al. treated 45 consecutive patients with HCC using  $70-150 \mu m$  beads and obtained an OR rate  $[CR + partial response (PR)]$  of 77.7 % with a median time to best response of 3 months (95 % confidence interval 2–4). In 13 patients, the treatment served as a bridge/downstaging to LT/surgery and pathological analysis of the removed tumors showed that more than 90 % necrosis was achieved in 10 of 28 nodules. The small beads were well tolerated, and the grade 3/4 adverse event rate was low (1 of 65 procedures) [\[45](#page-12-0)]. Justaniah et al. conducted a retrospective analysis of three cohorts of HCC patients treated with 100 mg of doxorubicin from two vials of beads of different sizes:  $300-500$  and  $500-700 \mu m$ beads  $(n = 74$ , large bead group),  $100-300$  and 300–500  $\mu$ m beads (n = 33, medium bead group) or 70–150 and 100–300 µm beads ( $n = 36$ , small bead group) over a period spanning 2008–2013. They found CR rates for patients treated with small beads were significantly higher at 3 and 6 months than those treated with larger beads [CR 34 vs. 73 vs. 92 % at 3 months ( $P < 0.01$ ) for large, medium and small respectively]. At 9 and 12 months the CR rates were no longer significant due to disease progression (PD) in untreated areas [CR 27 vs. 63 vs. 72 %  $(P = 0.06)$  and PD 21, 17 and 15 % respectively]. Heithaus et al. recently reported on midterm outcomes from 94 DEB-TACE sessions using  $70-150 \mu m$  beads in which disease control ( $DC = CR + PR + SD$ ) was achieved in 95 % of focal and 96 % of small tumors versus 61 % of multifocal  $(P = 0.04)$  and 55 % of large tumors  $(P = 0.0009)$ . They concluded the treatment was safe with no grade 4/4 complications, 88 % of patients discharged within 24 h and demonstrated antitumoural response and survival benefits [[49\]](#page-12-0). Rao et al. demonstrated the safety and feasibility of performing a DEB-TACE procedure in 31 consecutive patients treated with  $70-150$  µm beads, as an outpatient procedure with same-day discharge for 88 % of patients given their observations of decreased symptoms of PES [[50\]](#page-12-0). Yet there are reports in some studies with 70–150 µm beads that yielded increased PES relative to 100–300 lm beads (11 vs. 0 %), nausea (33 vs. 14 %), fatigue (50 vs. 14 %) (all  $P > 0.05$ ) although hair loss was significant (39 vs. 0 %,  $P = 0.01$ ) [[51\]](#page-12-0). Thus it is clear that the technique of delivery is very important in determining both outcome and tolerability of the treatment when using smaller drug eluting bead products and more detailed clinical investigation is warranted.

#### 5 Conclusions

As clinical experience is mounting for the use of DEB for the treatment of hepatic malignancies, techniques for bead administration (e.g. ''embo-light'' (a near-stasis end-point but with some residual forward flow) versus stasis [\[5](#page-11-0)] ) have advanced along with refinement of drug dose and bead size selection. A number of other DEB technologies have appeared on the market but it would be dangerous to assume similar clinical performance without comparative data, as each has unique chemistry and physical properties that will influence their handling, administration, embolization end-point, rate and extent of drug elution (and hence ultimately drug bioavailability). This evolution of clinical technique and device characteristics relies on the iterative interaction between the bench and bedside. There is sufficient evidence from a variety of animal models  $[10,$  $[10,$  <span id="page-11-0"></span>[41](#page-12-0), [52–55](#page-12-0)], human PK studies [13, 14] and liver explant evaluations [[32,](#page-12-0) [44,](#page-12-0) [45,](#page-12-0) [56,](#page-13-0) [57\]](#page-13-0) to support the fact that DEBDOX and DEBIRI result in lower systemic drug exposure and higher intratumoural drug concentrations than achieved by hepatic arterial infusion or conventional TACE. Although the optimal procedural conditions for DEB administration however, have not yet been clearly defined, a new DEB size range capable of an increased distal volume (dose) penetration  $(70-150 \text{ }\mu\text{m})$  offers potential for improved therapeutic outcomes. This smaller and narrower size range provides a relatively similar drug loading and elution profile in addition to the desired consistent distal penetration. The availability of this product and the data generated from its clinical use may start to shed light on the optimum configuration required for transcatheter therapy of hepatic malignancies using DEB.

Acknowledgments In memory and recognition of the contribution of our colleague, Vincent O'Byrne, who passed away this year; we will miss him.

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